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| APPLICATION NO.       | FILR | NG DATE                   | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.    | CONFIRMATION NO. |
|-----------------------|------|---------------------------|----------------------|------------------------|------------------|
| 09/296,202 04/21/1999 |      | 21/1999                   | TODD R. COLLART      | 1A 1502.01A US         | 8074             |
| 22887 7590 01/04/2005 |      |                           |                      | EXAMINER               |                  |
| DISCOVIS              |      |                           | SHERR, CRISTINA O    |                        |                  |
| 2355 MAIN             |      | PERTY DEVELOI<br>UITE 200 | ART UNIT             | PAPER NUMBER           |                  |
| IRVINE, CA            | •    |                           | 3621                 |                        |                  |
|                       |      |                           |                      | DATE MAILED, 01/04/200 |                  |

Please find below and/or attached an Office communication concerning this application or proceeding.

|  |   |   | 15   |  |  |  |  |
|--|---|---|--|--|--|--|--|
| Office Action Summary  |   | Application No.   | Applicant(s)   |  |  |  |  |
|  |   | 09/296,202  | COLLART, TODD R.   |  |  |  |  |
|  |   | Examiner  | Art Unit   |  |  |  |  |
|  |   | Cristina Owen Sherr   | 3621   |  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply   |   |   |  |  |  |  |  |
| THE - Exte after - If the - If NC - Failu Any  | ORTENED STATUTORY PERIOD FOR RE MAILING DATE OF THIS COMMUNICATIO nsions of time may be available under the provisions of 37 CFF SIX (6) MONTHS from the mailing date of this communication. e period for reply specified above is less than thirty (30) days, a poperiod for reply is specified above, the maximum statutory per tree to reply within the set or extended period for reply will, by streeply received by the Office later than three months after the med patent term adjustment. See 37 CFR 1.704(b). | N. R 1.136(a). In no event, however, may a reply be reply within the statutory minimum of thirty (30) and will apply and will expire SIX (6) MONTHS from the cause the application to become ABANDO | timely filed days will be considered timely. om the mailing date of this communication. NED (35 U.S.C. § 133). |  |  |  |  |
| Status   |   |   |  |  |  |  |  |
| 1)⊠  | Responsive to communication(s) filed on 2   | 4 August 2004.  |  |  |  |  |  |
| · <u> </u>   | This action is <b>FINAL</b> . 2b)⊠ This action is non-final.  |   |  |  |  |  |  |
| 3)□  | <del>/ -</del>  |   |  |  |  |  |  |
| ,—   | closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.   |   |  |  |  |  |  |
| Disposit   | ion of Claims   |   |  |  |  |  |  |
| 5)□<br>6)⊠<br>7)□  | Claim(s) 1-19 is/are pending in the applicate 4a) Of the above claim(s) is/are with Claim(s) is/are allowed.  Claim(s) 1-19 is/are rejected.  Claim(s) is/are objected to.  Claim(s) are subject to restriction are   | drawn from consideration.   |  |  |  |  |  |
| Applicat   | ion Papers  |   | •  |  |  |  |  |
| -  | The specification is objected to by the Exam  |   |  |  |  |  |  |
| 10)  | 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  |   |  |  |  |  |  |
|  | Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |   |  |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |   |   |  |  |  |  |  |
| Priority   | under 35 U.S.C. § 119   |   |  |  |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul> |   |   |  |  |  |  |  |
| Attachmer  | nt(s)   | -   |  |  |  |  |  |
| 1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  Paper No(s)/Mail Date   |   |   |  |  |  |  |  |
| 3) Infor   | ce of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO-1449 or PTO/SB er No(s)/Mail Date  |   | al Patent Application (PTO-152)  |  |  |  |  |

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#### **DETAILED ACTION**

1. This communication is in response to the Applicant's Amendment filed August 24, 2004. Claims 1, 7, and 12 have been amended.. Claims 1-19 are pending in this action.

## Response to Arguments

2. Applicant's arguments with respect to claims 1-19 have been considered but are moot in view of the new ground(s) of rejection.

## Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.
- 4. Claims 1 6 are rejected under 35 U.S.C. 102(a) as being anticipated by Tobita (US 5,938,730A).
- 5. Tobita discloses a method comprising: incorporating a tracking identifier onto an electronic storage medium; tracking the electronic storage medium while being shipped between various entities using the tracking identifier, detecting tracking information transmitting the tracking information to a server computer, and determining appropriate support information utilizing logic in the server computer to transmit to a computer (Col 4 In 7 col 5 In 10);

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with respect to claim 2, method as recited in claim 1, wherein the server computer performs a table lookup to determine the retailer that sold the package (Col 4 In 7 – col 5 In 10);

with respect to claim 3, method as recited in claim 1, wherein the server computer is coupled to the computer via a network.(Col 4 In 7 – col 5 In 10);

with respect to claim 4, a method as recited in claim 1, wherein the server computer transmits information utilizing an Internet protocol (Col 4 In 7 – col 5 In 10); with respect to claim 5, a method as recited in claim 1, wherein a transaction is written to a database memorializing processing (Col 4 In 7 – col 5 In 10);

with respect to claim 6, a method as recited in claim 1, wherein support information is passed to the server to identify pertinent support information (Col 4 In 7 – col 5 In 10).

- 6. Claims 7 11 are rejected under 35 U.S.C. 102(a) as being anticipated by Tobita (US 5,938,730A).
- 8. Tobita discloses, with respect to claim 7, an apparatus comprising; an electronic storage medium having a digital code: the digital code representative of an identifier of content on the optical disc electronic storage medium; means for tracking the electronic storage medium while being shipped between various entities using the identifier, the apparatus including logic that detects the tracking information; the apparatus including logic that transmits the tracking information to a server computer; and the apparatus including logic in the server computer that determines appropriate support information utilizing logic in the server computer to transmit to a computer (Col 4 In 7 col 5 In 10);

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with respect to claim 8, an apparatus as recited in claim 7, wherein the server computer performs a table lookup to determine the retailer that sold the package (Col 4 In 10 – col 5 In 14);

with respect to claim 9, an apparatus as recited in claim 7, wherein the server computer is coupled to the computer via a 3 network (Col 4 ln 10 – col 5 ln 14); with respect to claim 10, an apparatus as recited in claim 7, wherein the server computer transmits information utilizing an Internet protocol (Col 4 ln 10 – col 5 ln 14); with respect to claim 11, an apparatus as recited in claim 7, wherein a transaction is written to a database memorializing processing (Col 4 ln 10 – col 5 ln 14).

- 9. Claims 12 19 are rejected under 35 U.S.C. 102(a) as being anticipated by Tobita (US 5,938,730A).
- 10. Tobita discloses, with respect to claim 12, a program embodied on a computer readable medium for Identifying and providing a response to the use of an electronic storage medium having an identifier incorporated thereon, the program comprising: a code segment that reads the identifier of the electronic storage medium; a code segment for tracking the electronic medium while being shipped between various entities using tracking identifier and adding further tracking Information to a database; a code segment that detects the tracking information when the package a code segment that transmits the tracking information to a server computer, and a code segment in the server computer that determines appropriate support information utilizing logic in the server computer to transmit to a computer (Col 4 In 10 col 5 In 14);

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with respect to claim 13, the program as recited in claim 12, wherein the server computer performs a table lookup to determine the retailer that sold the package (Col 4 In 10 – col 5 In 14);

with respect to claim 14, the program as recited in claim 12, wherein the server computer is coupled to the computer via a network (Col 4 In 10 – col 5 In 14); with respect to claim 15, the program as recited in claim 12, wherein the server computer initiates support of authorized information utilizing a transaction from the server computer (Col 4 In 10 – col 5 In 14);

with respect to claim 16, the program as recited in claim 12, wherein a transaction is written to a database memorializing processing (Col 4 In 10 – col 5 In 14); with respect to claim 17, the program as recited in claim 12, including a code segment that receives live support information from the server computer (Col 4 In 10 – col 5 In 14);

with respect to claim 18, the program as recited in claim 17, including a code segment that transmits support criteria to the server computer (Col 4 ln 10 – col 5 ln 14); with respect to claim 19, the program as recited in claim 12, including a code segment that posts support indicia of video, user information, and a suitable player to a database (Col 4 ln 10 – col 5 ln 14).

11. Examiner's note: Examiner has cited particular columns and line numbers in the references as applied to the claims above for the convenience of the applicant.

Although the specified citations are representative of the teachings in the art and are applied to the specific limitations within the individual claim, other passages and figures

may be applied as well. It is respectfully requested from the applicant, in preparing the responses, to fully consider the references in entirety as potentially teaching all or part of the claimed invention as well as the context of the passage as taught by the prior art or disclosed by the examiner.

### **Conclusion**

- 12. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.
  - (1) a mail encoding and processing system (Allum et al US 5,420,403A);
- (2) a method of securing the playback of a DVD-ROM via triggering data sent via cable network (Mages et al US 6,035,329A);
  - (3) Bannan, KJ; Private Pipes for Electronic Media (Econtent, Apr 2002).
- 13. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cristina Owen Sherr whose telephone number is 703-305-0625. The examiner can normally be reached on 8:30-5:00 Monday through Friday.
- 14. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Trammell can be reached on 703-305-9768. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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15. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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